# 2-CYANO  $\Delta$ <sup>3</sup> PIPERIDEINES—IX<sup>1</sup>

# GENERAL STRATEGIES FOR THE SYNTHESIS OF CORYNANTHÉ-STRYCHNOS TYPE INDOLE ALKALOIDS

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Résumé—La synthèse de trois systèmes indoliques de type Corynanthè-Strychnos illustre l'utilité des  $cyano-2-\Delta^3$ -pipéridéines 1 comme synthons de caractère général pour la synthèse d'alcaloïdes complexes. La condensation de l'aminonitrile 23 avec l'anion du malonate de méthyle suivie d'une déprotection de l'azote indolique et d'une cyclisation en présence de HCl/MeOH conduit à la cis indoloquinolizidine 16a avec un rendement global de 45%. La réaction de la cyano-2- $\Delta^3$ -pipéridéine 30 avec l'anion du  $\beta$ -céto ester 29 donne l'énamine stable 31 (Rdt 91%). La cyclisation de 31 ou de l'aminonitrile correspondant 32 en milieu acide tosylique conduit à 34 qui après décarboxylation fournit la N-méthyl deséthylidène dihydroervitsine 25. Le traitement du sel le dihydro-pyridinium 42 ou de la cyano-2- $\Delta^3$ -pipéridéine 43 par Et<sub>2</sub>AICN dans le benzèze donne la cyano-4- $\Delta^2$ -pipéridéine 44 (isolée sous forme de composé d'addition dicvano-2,4 45 avec un rendement de  $37\%$ ).

Une réaction de Mannich entre 45 et l'indole fournit les nitriles épimères 46a et 46b (Rdt: 65%). Ces composés sont traités séparément avec CH<sub>1</sub>Li pour donner les cétones 49 et 51 (Rdt: 90%).

Les composés 49 et 51 donnent tous deux l'épi-20 uléine 36 par cyclisation en présence d'acide 10-camphosulfonique.

**Abstract—The syntheses of three Corynanthé-Strychnos type indole systems illustrate how 2-cyano**  $\Delta^3$ piperideines 1 can be used as synthons for a general approach to the construction of complex alkaloids. By condensation of amino-nitrile 23 with sodium dimethylmalonate and subsequent deprotection of indole N and ring closure in HCl/MeOH, the cis indologuinolizidine 16a was prepared in  $45\%$  overall yield. The reaction of 2-cyano- $\Delta^3$ -piperideine 30 with the anion of  $\beta$ -ketoester 29 gave the stable enamine 31 (Y: 91%). Cyclization of 31, or its corresponding aminonitrile equivalent 32 under acidic conditions (TsOH) gave 34. Decarboxylation of 34 gave N-methyldesethylidene dihydroervitsine 25. Treatment of dihydropyridinium salt 42, or 2-cyano- $\Delta^3$ -piperideine 43 with Et<sub>2</sub>AlCN in benzene gave 4-cyano- $\Delta^2$ -piperideine 44 (isolated as its 2, 4-dicyano adduct 45 in  $37\%$  yield). Mannich condensation of 45 with indole gave the epimeric nitriles 46a and  $\mathbf{b}$  (Y: 65%). These compounds were reacted separately with CH<sub>3</sub>Li giving ketones 49 and 51 (Y:  $90\%$ ). Reaction of both 49 and 51 with 10-camphorsulfonic acid gave 20-epiuleine 36.

In a recent series of papers,  $2-6$  we have described the preparation and chemistry of the 2-cyano- $\Delta^3$ piperideines 1, a new class of compounds which show considerable synthetic potential as "5, 6-dihydropyridinium salt equivalents".<sup>2</sup> In contrast to the unstable 5,6-dihydropropyridinium salt 2 which can exist only under a very restricted set of conditions (i.e. acidic media) their cyano adducts 1 are stable, readily isolable entities. These new synthons retain the reactivity of the parent dihydropyridinium system 2 with respect to nucleophilic addition at the C-2 or C-4 positions without the inconveniences associated with its instability (Scheme 1).

In this paper we wish to further illustrate how

2-cyano- $\Delta$ <sup>3</sup>-piperideines can be used as synthons for a general approach to the construction of complex alkaloids. In this regard the indole alkaloids are particularly interesting as synthetic targets as the vast majority of these natural products contain within their structure, a substituted piperidine ring. Our present efforts have been directed towards the synthesis of three Corynanthé-Strychnos type indole systems: (i) the tetracyclic indoloquinolizidine system 3, typical of early members of the Corynanthé alkaloids; (ii) the novel 2-acyl-indole system of ervitsine  $4<sup>15</sup>$  and (iii) the indole system 5 of the uleine group  $(Fig. 1)$ .

In each of these molecules the indole and piper-



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Fig. 1

idine portions are joined by bonds connecting the  $\alpha$ and  $\gamma$ -(2 and 4) positions of the piperidine ring (outlined in bold type).' This common element forms the basis for the approach to their synthesis using  $2$ -cyano- $\Delta$ <sup>3</sup>-piperideines.

### *Indoloyuinolizidine synthesis*

Following the finding that 2-cyano  $\Delta^3$  piperideines 1 react cleanly with  $\beta$ -dicarbonyl anions to give C-4 substituted enamines  $6<sup>2</sup>$  in high yield we envisaged a concise, two steps strategy for the synthesis of the C-l 5 substituted indoloquinolizidine skeleton of the Corynanthe type indole alkaloids (scheme 2). As illustrated in the retrosynthetic analysis, the transformation  $7\rightarrow 8$  would involve, a) condensation of malonate anion at C-15 of aminonitrile 7, and b) closure of the C-ring. The subsequent elaboration of the key intermediate 8 to a number of natural alkaloids 3, 9-12 has been previously described. $9-11$ Unfortunately, using our general method<sup>2</sup> the aminonitrile 7 with a substituent at C-20 was unavailable. Therefore, rather than embark upon the development of an entirely new route to this compound it was decided to investigate the feasilbility of the above sequence using the readily available aminonitriles 14 and 15. As recently reported $6$  we were able to show that the indoloquinolizidine systems 16 and 17 could be prepared in 51% and 18% yields respectively  $(3 \times 10^8)$ steps, including deprotection) from these dihydropyridinium equivalents (scheme 3).

Although successful, the results of this study indicated that some improvements could be introduced, particularly as concerns the protection of the indole N. The removal of the N-benzenesulfonyl group introduced an additional step into the synthesis at a point which required the isolation of fragile intermediates. It was felt that if protection of this N could be avoided, the condensation, and cyclization step could perhaps be carried out with only a change in reaction medium (i.e. THF for MeOH/HCI). This





Scheme 3.



**Scheme 4.** 

would hopefully lead to an improvement in product yields, especially where the formation of the tetracyclic system 16 from 14 was concerned.

We therefore returned to the preparation of the unprotected amino-nitrile 22 from tetrahydropyridine 18 (Scheme 4). Formation of the tetrahydropyridine N-oxide 20 (mPCBA, CH<sub>2</sub>Cl<sub>2</sub>, O<sup>o</sup>) was achieved without difficulty. However, as previously feared<sup>2.12</sup> reaction of this compound with compound with trifluoroacetic anhydride under a variety of conditions led to formation of a multitude of products from which 22 (detected amongst the more polar components) was isolated in only 4% yield ('H NMR:  $\delta$  4.50(s, H-3); 5.96(m, H-14, 15), 7.84(br. s, NH)). Deactivation of the indole N is clearly necessary in order to avoid unwanted side products during the Polonovski-Potier reaction.

We thus' turned our attention towards finding a new protecting group for this N. The tbutyloxycarbonyl protecting group (Boc) was chosen for the present work as it is (a) sufficiently electron withdrawing to prevent side reactions during aminonitrile formation, (b) its presence would not interfer with the condensation step, and (c) it is acid labile being readily cleaved by treatment with HCI at room temperature.<sup>13</sup> This later feature was particularly attractive as it means that cyclization and deprotection could be achieved in the same operation.

Boc-tetrahydropyridine 19 was prepared from 18 using the phase transfer technique described by Illi.<sup>14</sup> By reaction of 18 in benzene  $-50\%$  NaOHaq with

di-t-butyl carbonate for exactly 20min, the desired Boc derivative 19 was isolated in  $89\%$  yield (IR: 1715 cm<sup>-1</sup>, <sup>1</sup>H NMR:  $\delta$  1.68, <sup>13</sup>C NMR 27.1, 80.1). Greatly reduced yields due to hydrolysis of the protecting groups in the strongly basic media occurred if longer reaction time were employed.

As above, no difficulties were encountered when 19 was reacted with *m*-chloroperbenzoic acid. N-oxide 21 was obtained pure  $(74\%)$  after removal of coloured non polar impurities by filtration through an alumina column.

By reaction of N-oxide 21 with trifluoroacetic anhydride at  $-15^\circ$ , and treatment of the resultant dihydropyridinium salt with aqueous KCN (buffered to pH 4.0) also at  $-15^{\circ}$  (warming slowly to room temp over 30min) the desired aminonitrile 23 was obtained. This product proved to be unstable to normal chromatography on alumina, however as the major impurities were more polar materials it could be obtained in essentially pure form (colourless oil, 43%) by rapid filtration under pressure through a short column of alumina. In the 'H NMR spectrum of 23 the singlet resonance at  $\delta$  4.25 was attributed to H-3 and the multiplets at  $\delta$  5.72 and 6.04 to the olefinic protons H-14 and 15. As previously observed<sup>6</sup> the signals for the bridging methylene protons (H-S and H-6) were overlapped giving an apparent single at  $\delta$  2.94. Present in the <sup>13</sup>C NMR spectrum were signals at  $\delta$  55.2, 51.4 and 83.6 assigned to carbons-3,-I4 and the quaternary carbon of the t-Bu group.

The reaction of 23 with sodium dimethylmalonate



was next studied using  $ZnCl<sub>2</sub>$  in the place of AgBF<sub>4</sub> to catalyse the condensation.<sup>5</sup> By heating 23 and dimethylmalonate anion in the presence of 15 mol  $\%$ anhydrous ZnCl, for 8 hr, then evaporating the solvent, the surprisingly stable Boc-enamine 24 was obtained in quantitative yield ('H NMR examination of the crude product mixture). By subsequently heating the crude enamine 24 in MeOH/HCI for an additional 8-10 hr loss of Boc and cyclization to the desired tetracyclic indoloquinolizidine 16 occurred (reaction at room temp for 2 days did not lead to complete cyclization). The *cis*-quinolizidine (H-3, 15 trans) compound 16a with the configuration typical to antirhine 13 was obtained pure (pale yellow oil, HCl salt m.p.  $206-208^{\circ}$ ) (45%) after preparative layer chromatography on silica gel. The spectral data for this product were identical with those previously recorded.<sup>6</sup> Trace amounts of the trans-quinolizidine isomer 16b were detected using TLC, however this product was not isolated.

We were thus able to realize the construction of the C-15 substituted indoloquinolizidine system 16 from 23 in two reaction steps, involving in the intermediate work-up stage a simple change in solvent medium. A net increase in the overall yield of 16 was achieved using this new procedure based upon the elimination of an acid labile  $N_a$  protecting group (cf with ref. 6;  $18\%$ ).

The yield could certainly be further improved since substantial losses are incurred during chromatographic purification of the only moderately stable tetracyclic product 16. *Ervirsine series* 

Ervitsine 4 is a novel 2-acylindole alkaloid which was isolated in our laboratories from the root barks of *Puna'aca boiteaui* (Apocynaceae)" (Fig. 1). Strong support exists for the proposed biogenesis of this series by rearrangement of the Corynanthé alkaloid vobasine. $8,15$  As this rearrangement occurs without fragmentation of the monoterpene unit ervitsine is considered as belonging to the Corynanthe-Strychnos group. For the sake of simplicity, we first directed our attention towards the preparation of its desethylidene dihydro derivative 25 (Fig. 2) as the required aminontrile 30 is readily prepared from 3-picoline.<sup>2,3</sup> The strategy for the synthesis of this compound resembles closely that used for the formation of the indoloquinolizidine system 16, i.e. the reaction of a keto ester anion with the  $\gamma$ -(C-4) position of the aminonitrile 30 followed by closure of the C-ring under acidic conditions (Mannich reaction) (Scheme 6).

The keto ester component 29 was prepared directly by reaction of the mixed anhydride  $27$  (CH<sub>3</sub>SO<sub>2</sub>Cl,



Et<sub>3</sub>N, THF,  $-50^{\circ}$ ) of 1-methylindole-2-carboxylic acid  $26^{16}$  with the anion of methyl acetate (72%) or alternatively by a two steps sequence involving reaction of 27 with sodium dimethylmalonate  $(81\%)$ , and monodecarboxylation  $(AI_2O_3, aq. THF)$  of the resultant keto diester 28  $(87\%)$  (Scheme 5).

Reaction of the anion of keto ester 29 with aminonitrile 30 in the presence of  $AgBF<sub>4</sub>$  led to the formation of the condensation product, enamine 31, as a (6:4) mixture of isomers (91%). Enamine 31, a stable yellow oil, displayed strong absorptions in its IR spectrum at  $1650$  and  $1715 \text{ cm}^{-1}$  for the keto + enamine and ester systems respectively. In the 'H NMR spectrum singlets (6:4 ratio) were observed at  $\delta$  2.55 and  $\delta$  2.53 for the N<sub>b</sub>-Me groups of the two isomers. Similarily pairs of singlets were observed at  $\delta$  1.45,  $\delta$  1.58 for the C-16 Me group, at  $\delta$  3.68,  $\delta$  3.67 for the two Me groups, at  $\delta$  4.07,  $\delta$  4.09 for the N<sub>a</sub>CH, substituents, and finally at  $\delta$  5.60,  $\delta$  5.70 for the two H-S enamine protons.

This compound could be cyclized directly to the tetracyclic 2-acylindole 34, or converted first to its aminonitrile equivalent 32 (NaCN, pH 4.0). Compound 32 was also obtained as a mixture of isomers  $(\sim 4:1)$  from which the major isomer 32a was obtained crystalline from ethyl acetate (m.p. 161-162"). It was observed that on attempted purification of the minor isomer 32b by preparative layer chromatography a mixture of  $32a$  and b was always obtained. Under these conditions it is unlikely that isomerization of the C-S center occurs, thus these two products are likely epimers at C-14. Isomer 32a displayed strong absorptions of equal intensity in its IR spectrum at  $1650$  and  $1715 \text{ cm}^{-1}$  for the keto and ester carbonyls. In the 'H NMR spectrum of 32a doublet resonances were observed at  $\delta$  4.47  $(J_{14,15} = 4 \text{ Hz})$ ,  $\delta$  3.77  $(J_{5,16} = 4 \text{ Hz})$  and  $\delta$  1.20  $(J_{6,16} = 7 \text{ Hz})$  for hydrogens  $-14$  and  $-5$ , and the protons of the C-16 Me group. The small  $J_{5,16}$  coupling suggested an axial-equatorial relationship between the cyano and Me groups, and the small  $J_{14,15}$ coupling indicated that z rotation of the keto ester unit away from the  $H_{14}-H_{15}$  anti position has occurred. On irradiation, the H-15 signal  $\delta$  2.37 (t, t) collapsed to triplet of doublets  $(J_{15,20ax} = J_{15,16} = 12 \text{ Hz},$  $J_{15,20\text{ eq}} = 4\text{Hz}$  demonstrating that H-15 and H-16 were both axial. Taken together the data indicated

that isomer 32a adopted a conformation where in the cyano group was positioned axial and the keto ester and Me groups were equatorial."

Intramolecular cyclization of 31 or 32 under acidic conditions (TsOH, MeC<sub>6</sub>H<sub>5</sub>  $\Delta$ ) gave the tetracyclic product 34 in good yield  $(Y: 65\%$  from 31; 75% from 32). The loss of the signal for the idole  $\beta$  proton in the 'H NMR spectrum of 34 and the determination that C-7 was a quaternary center in the off resonance  $^{13}$ C NMR spectrum strongly supported the formation of compound 34.

Finally, by heating 34 in aqueous THF in the presence of basic alumina, decarboxylation occurred giving N-methyldesethylidene dihydroervitsine 25 in  $95\%$  yield (structure 25 was confirmed by X-Ray  $diffraction)$ .

The formation of the bridged system in 34 (and hence 25) by cyclization of the iminium salt 33 requires that the C-5 and C-15 substituents be *cis*diaxial and that the corresponding hydrogens be equatorial. The small coupling constant  $J_{5,16} \simeq 1$  Hz observed for the H-5 proton ( $\delta$  4.35) in the <sup>1</sup>H NMR spectrum of 25 was a direct indication therefore that the bridge Me group (C-16) was in the axial orientation. The origin of this axial orientation of the Me group can be understood if one considers that protonation of the enamine 31 occurs primarily under thermodynamic conditions from the axial direction (or the face of the enamine containing the keto ester substituent) which leads to an iminium salt where the C-16 and C-15 substituents are equatorial. Ring inversion so as to allow the approach of the C-7 and C-5 centers (structure 33) then places both substituents, and in particular the methyl groups in an axial orientation.

Cyclization of aminonitrile 32 requires its prior decomposition, and ring inversion to the same iminium salt 33.

### *20-Epiufeine synthesis*

Uleine 35, 20-epiuleine 36, dasycarpidone 37, as well as their desalkyl, and dihydroforms, isolated principally from *Aspidosperma* sp." constitute a small family of Strychnos type indole alkaloids which lack the usual two carbon chain derived from tryptophan (Figure 3)." A likely pathway for their biogenesis has been proposed involving fragmentation, loss of car-



Fig. 3.

bon, and recyclization from the key biosynthetic intermediate stemmadenine 40.<sup>20</sup> Developing simple methods for the preparation of uleine and related systems 38, becomes important if one considers the reverse process, i.e. the use of uleine or 38 as a precursor of the more complex bridged alkaloids 39 and ultimately stemmadenine 40 itself.<sup>21</sup>

On examining the uleine skeleton one sees that the indole component is connected directly to the z-position (C-21) of the piperidine ring and bonded to the  $\gamma$ -position (C-15) through a two carbon vinyl unit. Considering this viewpoint two strategies for the construction of this molecule from a 2-cyano- $\Delta$ <sup>3</sup>-piperideine become apparent: (i) formation of bonds between a 2-cyano- $\Delta^3$ -piperideine and an indole component containing the two carbon unit (connections  $a$  and  $c$ ), or (ii) prior formation of a C-15 substituted piperidine component and its subsequent reaction with indole (connections  $a$  and  $b$ ). In the present study we have investigated the latter pathway.

A number of syntheses of the uleine (and dasycarpidone 37) systems have appeared in the literature following this approach.<sup>22</sup> In each case formations of the C-l 5 substituted piperidine component required a relatively large number of reaction steps.

In our approach to its synthesis we addressed ourselves to the problem of l,4-vs the normal l,2-addition2 of cyanide ion to the dihydropyridinium salt 42 in order to introduce functionality at C-15 (Scheme 7). A C-15 cyano group would act as a potential two carbon unit as reaction with methyllithium leads readily to the corresponding methyl ketone. Unfortunately, reaction of 42 with KCN<br>leads only to the kinetic product only to the kinetic product 2-cyano- $\Delta^3$ -piperideine 43. To equilibrate 43 to the thermodynamically more stable I ,4 addition product 44 we thus needed an agent which would promote the removal of the cyano group of 43 without removing it completely from the reaction medium. The work of Nagata et al.<sup>23</sup> on the 1,4-addition of  $CN^-$  to conjugated aldimines using  $Et<sub>2</sub>AICN$  suggested a solution to this problem.

By reaction N-oxide 41, thoroughly dried under vacuum with trifluoroacetic anhydride in CH,CI, followed by removal of the solvent and remaining anhydride, the dihydropyridinium salt 42 was obtained in essentially quantitative yield. Reaction of 42 with Et<sub>2</sub>AlCN (commercial, Alpha) in THF for 2 hr

led to the formation of aminonitrile 43 only. However, if the reaction was allowed to continue overnight complete equilibration of 43 to  $4$ -cyano- $\Delta^2$ -piperideine 44 occurred (TLC examination). In contrast, when the reaction was carried out in benzene rapid equilibration of the initial product 43 to 44 occurred, the formation of the enamine  $44$  being complete in less than  $2 \text{ hr.}^{24}$  The cyanoenamine 44 was isolated as its cyano adduct 45  $(4:1)$  mixture of isomers  $45a$  and b). This isomer mixture was purified by column chromatography on alumina  $(37%)$  and a single isomer 45a (colourless crystals, m.p.  $48-59^{\circ}$ ) was subsequently obtained by crystallisation from ether-hexane.

It was observed that enamine 44 could be readily regenerated from 45 by reaction with  $AgBF<sub>4</sub>$  in THF. Enamine 44 (IR: 2210, 1660 cm<sup>-1</sup>; <sup>1</sup>H NMR:  $\delta$  2.60  $(s, NCH<sub>3</sub>)$ , 5.60  $(s, H-21)$  proved to be remarkably stable to extractive work up and column chromatography on alumina.<sup>25</sup>

Having developed a short method for the introduction of a substituent at the C-15 position of the piperidine component the intermolecular Mannich condensation between 45a and indole was studied (Scheme 8). Contrary to previous results<sup>4,22d</sup> this reaction proceeded sluggishly and even after heating at 60-80° for several days would not go to completion. However, if silver nitrate was also present in the medium condensation was complete in less than I6 hr.

The formation of two major components was observed. These products were obtained pure after careful column chromatographic separation from two impurities of similar polarity, present in trace amounts (the least polar of these impurities was identified as compound  $47$ ).<sup>26</sup> The IR, MS, <sup>1</sup>H NMR data indicated that these products were epimers a and b of the C-21 indole substituted piperidine 46. Determination of the configuration of the three piperidine ring substituents of the two epimers was hindered by extensive overlapping of the <sup>1</sup>H NMR signals for hydrogens  $-14_{ax, eq}$  20, and  $-21$ . The <sup>1</sup>H NMR spectrum of 46h was sufficiently well resolved however to attribute the signal at  $\delta$  3.22 (J = 4 Hz) to H-15. Since no large coupling of H-15 with its neighbors was observed it was concluded that the cyano group of this epimer was in an axial orientation.

An important point to be commented on further below is that the same mixture of isomers 46a and b





Scheme 8.

was obtained when the mixture of dicyano adducts 45a and b was **used** in the condensation with indole. It was apparent that this reaction was independent of the configuration of 45.

Reaction of isomers **46a** and b separately with MeLi in ether led to formation of the epimeric imines 50 and 48 respectively in quantitative yield (TLC determination). Imine 48 (IR: 1640 cm<sup>-1</sup>; <sup>1</sup>H NMR:  $\delta$ 1.92 (s, CH<sub>3</sub>C = NH), 2.05 (s, NCH<sub>3</sub>), 4.18 (d, H-21) was hydrolyzed to the ketone 49 (IR:  $1700 \text{ cm}^{-1}$ ) by treatment with aqueous acid  $(Y: 91\%$  after purification). However it was subsequently found that acidic hydrolysis was unnecessary. On purification of crude imine 50 (IR: 1630 cm<sup>-</sup><br>H NMR:  $\delta$  2.00 (s CH<sub>2</sub> = NH) 2.04 (s NCH<sub>2</sub>) b H NMR:  $\delta$  2.00 (s, CH<sub>3</sub> = NH), 2.04 (s, NCH<sub>3</sub>) by simple filtration through an alumina column complete conversion to the corresponding ketone 51 was observed  $(90\%)$ .

The 'H NMR spectra of ketones 49 and 51 were well resolved permitting the assignment of all the piperidine ring protons. In the 'H NMR spectrum of 49 the signal for H-21 appeared as a doublet at  $\delta$  3.86. The large  $J_{21,20} = 10$  Hz coupling constant indicated that both the indole and ethyl side chains adopted equatorial positions. A quartet resonance  $(\delta$  3.10,  $J = 4$  Hz) was observed for H-15 which indicated that the acetyl side chain was in the axial position. In the 'H NMR spectrum of 51 a broadened doublet absorption at  $\delta$  3.04 (J<sub>21.20</sub> = 10 Hz) was observed for H-21 and a triplet of doublets resonance at  $\delta$  3.61  $(J_{15.14ax} = J_{15,20ax} = 12 \text{ Hz}, J_{15,14eq} = 4 \text{ Hz})$  was found for H-15. The large  $J_{21,20}$  and  $J_{15,20}$  coupling constants indicated that all three substituents occupied equatorial positions. $27$ 

The two ketones 49 and 51 were thus found to be epimers at the C-15 position. Since there was no epimerization of this center on reaction of nitrile 46b with methyllithium it is justifiable to assume that the nitriles **46a** and b and their corresponding ketones 51 and 49 have identical configurations at the C-20 and 21 centers.

It was clear from the structures of ketones 49 and 51 that on cyclization and dehydration of both compounds 20-epiuleine 36 would be produced. Naturally an epimerizatiop of the C-15 center of 49 would have to take place before cyclization could occur. This final step was accomplished by reacting 49 and 51 in  $CHCl<sub>3</sub>$  with p-toluenesulfonic acid or IO-camphorsulfonic acid. At present this reaction is not optimized and typical yields are in the order of  $35 - 56\%$ .

In retrospect, as only 20-epiuleine 36 was formed from the two ketones the entire synthesis could be repeated without the separation of epimeric products at each reaction step. Interestingly, on the basis of previous results,<sup>6</sup> it was originally felt that the condensation of 45 with indole would lead to a mixture of epimeric nitriles 46 from which both uleine 35 and 2O-epiuleine 36 would be produced. This can be understood by considering the mechanism of the condensation reaction (Scheme 9). Heating dicyanopiperidine 45 in HOAc in the presence of AgNO, results in formation of the enamine 44. All stereochemistry is lost in this process which explains why it is unimportant to conduct this reaction with pure 45a or the mixture of isomers 45a and b. Protonation of enamine 44 in the weakly acidic medium can, and does occur from both faces of the molecule (directions a and b) leading to iminium salts 52 and 53a. Condensation of 52 with indole from the axial direction would then lead to the diaxial product 54 which prefers to populate the more energetically favourable conformation of 46b with one axial substituent. Considering the alternate pathway b, it was



Scheme 9

anticipated that indole would react with the iminium salt 53a to give a condensation product which would eventually lead to uleine 35. However, it is apparent that steric hindrance to approach of the incoming indole was sufficiently large to inhibit this reaction. Rather, it was the unhindered diaxial conformer 53b (probably present in small concentrations) which reacted with indole to give a triaxial product 55 which prefers to exist in the all equatorial conformation of **46a.2\*** The 2: I ratio of products **46b/a** produced in this reaction probably reflects the difference in concentration of iminium salts 52 and 53b, and to a lesser extent the direction of protonation of enamine 44.

Thus, from N-oxide 41, or 2-cyano- $\Delta^3$ -piperideine 43 the natural alkaloid 20-epiuleine 36 was prepared in four steps. The key step in this approach was the substitution of a preformed piperidine synthon at the y- or C-15 position.

# EXPERIMENTAL

IR spectra were recorded in CHCI, soln on a Pcrkin-Elmer 297 Spectrophotometer. IR absorption bands arc expressed in reciprocal centimeters  $(cm<sup>-1</sup>)$  using polystyrene calibration. Peaks yielding structural information are reported. UV spectra were run in MeOH solon on a Bausch and Lomb Spectronic 505 spectrophotometer. 'H NMR spectra were recorded in CDCI, (TMS as internal standard  $\delta = 0$ ) at 400 MHz using a Brüker WM 400 instrument. Chemical shift data are reported in ppm downfield from TMS where s, d, dd, t, q, qn, h, o and m designate

singlet, doublet, doublet of doublets, triplet, quartet, quintet, hextet, octet and multiplet respectively. <sup>13</sup>C NMR spectra were recorded in CDCl<sub>3</sub> ( $\delta$ , ppm, Me<sub>4</sub>Si) on either a Briiker HX 90E (22.63 MHz) or WP 60 (I 5.08 MHz) instrument. High resolution mass spectrometry was performed on a Kratos MS80 RF instrument. Column and thin layer chromatography were done using Aluminoxid 90 or Silica gel-60 (Merck, n.9385).

#### *Preparation of I-hutyloxycarbonyl indole 19*

A soln of  $50\%$  NaOHaq (10 ml) was added to a suspension of  $18^6$  (1.00 g, 4.42 mmol) in benzene (20 ml) containing tetrabutylammonium hydrogenosulfate (0.450 g) and the resultant two phase medium was stirred rapidly for 5 min at room temp. under an atmosphere of argon. Di-tbutyl dicarbonate (2.01 g, 8.84 mmol) in benzene (IOml) was then added dropwise over IOmin, and stirring was continued for exactly IOmin. The benzene layer was then separated and the aqueous layer was washed with CH,CI,  $(x, 3)$ . The combined organic fractions were then washed with  $H_2O$ , dried over  $Na_2SO_4$  and concentrated to give a viscous orange oil. The crude product mixture was separated by column chromatography on alumina ( $\sim$  50:1) cluting with  $CH_2Cl_2$ : hexane (1:1). The desired product 19 was obtained pure as a colourless oil (1.24 g, 89%). IR :  $v_{\text{max}}$  $1715~cm^{-1}$  NCOOR; UV (MeOH):  $\lambda_{\text{max}}$  265,288,298 nm; <sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$  1.68 (s, 9 H, C(CH<sub>3</sub>)<sub>3</sub>), 2.25 (m, 2 H, H-20), 2.70 (t,  $J = 7$  Hz, 2 H, H-21), 2.80 (m, 2 H, H-6), 2.97 (m, 2 H, H-5). 3.12 (m, 2 H, H-3). 5.73, 5.80 (2 m, I H each, H-14. 15). 7.43 (s, I H, H-2), 8.14 (br.s, I H, H-12); <sup>13</sup>C NMR (CDCI<sub>3</sub>):  $\delta$  22.0, 25.2, 27.1, 48.2, 50.7, 56.1, 80.1, 110.8, 114.3, 114.5, 117.6, 117.9, 119.5, 120.4; MS m/e (rel. intensity): 326 (M<sup>+</sup>, 40%), 311 (5%), 269 (10%), 252 (10%)

199 (12%), 143-44 (15%), 130 (25%), 98-96 (100%); Exact mass:  $m/e$  326.1963 (Calc for C<sub>20</sub>H<sub>26</sub>N<sub>2</sub>O<sub>2</sub>:  $m/e$  326.1994).

#### Preparation of N-oxide 21

 $m$ -Chloroperbenzoic acid (90%) (3.32 g, 17.4 mmol) was added in portions over several minutes to a stirred solution of 19 (5.00 g, 15.8 mmol) in  $CH_2Cl_2$  (200 ml) and the resultant reaction mixture was stirred at 0° for 1 hr. Solid  $K<sub>2</sub>CO<sub>3</sub>$  (excess) was then added and stirring was continued for 15 min. After this period the mixture was suction filtered through a celite bed, and the filtrate was concentrated giving a tan coloured foam. The crude product mixture was separated by column chromatography on alumina (30:1)  $CH_2Cl_2$ : hexane  $(1:1) \rightarrow (CH_2Cl_2$ : MeOH 2%). N- oxide 21  $(3.87 g, 74%)$  was obtained pure as a colourless foam. This compound was carried directly through to the following step.

# Preparation of aminonitrile 23

N-oxide 21 (0.460 g, 1.38 mmol) was dissolved in  $CH<sub>2</sub>Cl<sub>2</sub>$ (30 ml) and stirred at  $-15^{\circ}$  under argon. Trifluoroacetic anhydride (1.0 ml, 5 equiv) was then added dropwise to this soln over a 10 min period. After stirring for an additional 1 hr at  $-15^\circ$  an aqueous soln of KCN (buffered to pH 4.0 with citric acid and sodium acetate) was added, cooling was discontinued, and the two phase mixture was stirred vigorously for 0.5 hr. The two layers were then separated and the aqueous phase extracted with  $CH_2Cl_2$  (  $\times$  3). The combined  $CH<sub>2</sub>Cl<sub>2</sub>$  layers were then washed with water, dried over  $Na<sub>2</sub>SO<sub>4</sub>$  and concentrated to give a pale yellow oil. The crude product mixture was separated by rapid column chromatography on alumina  $(1:50)$  under medium pressure  $(\sim 100 \text{ mbar})$  using  $CH_2Cl_2$ : hexane (1:1) as eluant. Minimal loss of the desired product was observed if the crude product mixture was first absorbed into a thick layer of sand above the alumina bed, and then quickly absorbed onto the alumina, and flushed through the column. Essentially pure 23 (0.200 g,  $43\%$ ) was obtained as a colourless viscous oil which foamed radily under vacuum. IR:  $v_{\text{max}}$  1710 cm<sup>-1</sup>; UV (MeOH):  $\lambda_{\text{max}}$  265, 288, 298 nm; <sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 1.68 (s, 9 H, C(CH<sub>3</sub>)<sub>3</sub>), 2.12 (dt, J  $\simeq$  16, 4 Hz, 1 H, H-20 eq.), 2.39  $(m, 1 H, H-20 ax), 2.66 (td, J \approx 12, 4 Hz, 1 H, H-21 ax), 2.94$ (apparent s, 5 H, H-5, 6, 21 eq.), 4.25 (br.s, 1 H, H-3), 5.72 (m, 1 H, H-14), 6.04 (m, 1 H, H-15), 7.45 (s, 1 H, H-2), 8.12 (br.s, 1 H, H-12); <sup>13</sup>C NMR (CDCl<sub>3</sub>):  $\delta$  23.0, 25.9, 28.2, 46.9, 51.4, 55.2, 83.6, 115.4, 116.1, 118.2, 119.0, 120.7, 122.6, 123.2, 124.5, 130.3, 130.7, 135.6; MS m/e (rel. intensity): 351  $(M^+, 25\%)$  324 (15%), 294–95 (15%), 278 (12%), 267, 269<br>(10%), 251 (30%), 143 (15%), 130 (25%), 121 (100%); Exact mass:  $m/e$  351.1953 (Calc for C<sub>21</sub>H<sub>25</sub>N<sub>3</sub>O<sub>2</sub>:  $m/e$  351.1946).

#### Condensation of 23 with sodium dimethylmalonate:

Formation of 16a by cyclization of enamine 24. Anhydrous  $ZnCl<sub>2</sub>$  (0.1 mmol) in THF (1 ml) was added via syringe to a soln of 23 (0.280 g, 0.80 mmol) in THF (4 ml) stirred at room temp under argon. After several min a soln of sodium dimethylmalonate (1.64 mmol) in THF (5 ml) was added to the mixture and the resultant reaction was stirred at  $60^{\circ}$  for 8 hr. The cooled reaction was then centrifuged and the supranatent concentrated giving a pale yellow viscous oil containing enamine 24. IR:  $v_{\text{max}}$  1640, 1705–1740 cm<sup>-1</sup>;<br><sup>1</sup>H NMR (80 MHz, CDCl<sub>3</sub>):  $\delta$  2.52 (s, 9 H, C(CH<sub>3</sub>)<sub>3</sub>), 4.15 (dd,  $J \approx 10$ , 4 Hz, 1 H, H-3), (dd,  $J = 10$ ,  $\sim 1.5$  Hz, 1 H, H-14).

Enamine 24 was subsequently redissolved in dry MeOH  $(10 \text{ ml})$  presaturated with HCl, and the soln heated at  $60^{\circ}$ under argon for 8-10 hr. The cooled reaction was then diluted with ice water, and the aqueous soln was neutralized by the addition of  $Na<sub>2</sub>CO<sub>2</sub>$ , and extracted with  $CH<sub>2</sub>Cl<sub>2</sub>$  $(x 5)$ . The combined CH<sub>2</sub>Cl<sub>2</sub> extracts were then washed with water, dried over Na<sub>2</sub>SO<sub>4</sub>, and concentrated giving an orange foam. The tetracyclic indole 16a was isolated pure  $(0.130 \text{ g}, 45\%)$  as a pale yellow oil after preparative layer chromatography of the crude product mixture on silica (EtOAc: MeOH: Et,N (96:3:1)). The spectral data for 16a was identical to those reported.<sup>6</sup> Product 16a HCl: Found: C. 60.85%; H, 6.42%; N, 7.05%; Calc for C<sub>20</sub>H<sub>25</sub>N<sub>2</sub>O<sub>4</sub>Cl; C, 61.14%; H, 6.41%; N, 7.13%.

# Preparation of 28

The mixed anhydride derivative 27 was prepared first by the addition of a soln of methanesulfonyl chloride  $(0.383 g,$ 3.36 mmol) in THF  $(1 \text{ ml})$  to a soln of 26  $(0.553 \text{ g},$ 3.16 mmol) and Et<sub>3</sub>N (0.515 g) in THF (20 ml) at  $-25^{\circ}$ (under N<sub>2</sub>). The mixture was stirred at  $-25^{\circ}$  for 1 hr (noted immediate formation of a colourless ppt).

Secondly the enolate anion of dimethylmalonate was prepared by the addition of dimethylmalonate (0.600 g, 4.54 mmol) in THF  $(1 \text{ ml})$  to a suspension of NaH  $(0.200 \text{ g})$ , hexane washed) in THF (15 ml). The mixture was stirred for 30 min under  $N_2$ . The mixed anhydride soln was then added (via transfer under  $N_2$  pressure through a syringe needle equipped with a filter paper) to the anion soln. THF was added to the non-transferred residue, and this in turn was transferred under  $N_2$  pressure. The resultant mixture was stirred for 45 min at room temp after which time it was poured into a soln of aq. HCl/NaOAc (pH 4.0) and the product extracted with  $CH_2Cl_2$  (  $\times$  3). The combined organic fractions were then washed with water, dried over Na<sub>2</sub>SO<sub>4</sub> and concentrated affording crystals (0.855 g). Trituration of the crude product mixture with hexane furnished pure 28 (0.624 g, 81%). m.p. 137° (EtOAc); IR: ν<sub>max</sub> 1670,<br>1740–1760 cm<sup>-1</sup>; UV (MeOH): λ<sub>max</sub> 236, 312 nm; <sup>1</sup>H NMR<br>(CDCl<sub>3</sub>): δ 3.80 (s, 6 H, OCH<sub>3</sub>), 4.05 (s, 3 H, NCH<sub>3</sub>), 5.37 (s, 1 H, CH); <sup>13</sup>C NMR (CDCl<sub>3</sub>):  $\delta$  32.1, 53.2, 62.4, 110.6, 113.0, 121.4, 123.4, 125.9, 127.1, 133.3, 140.9, 165.4, 181.6; MS m/e (rel. intensity) 289 (M<sup>+</sup>; 25%), 175 (100%), 158  $(100\%)$ , 130 (30%), 89 (40%); Exact mass  $m/e$  289.0927 (Calc for  $C_{15}H_{15}NO$ ,  $m/e$  289.0950); Found: C, 62.03%; H, 5.08%; N, 4.98%; Calc: C, 62.28%; H, 5.23; N, 4.84%.

#### Monodecarboxylation of 28

Preparation of ketoester 29. A well stirred mixture of 28  $(0.110 \text{ g}, 0.38 \text{ mmol})$ , H<sub>2</sub>O  $(0.1 \text{ ml})$  and Merck basic alumina  $(2 g)$  in THF  $(10 ml)$  was treated at reflux for 4 hr. The alumina was then separated from the mixture by suction filtration and washed repeatedly with CH<sub>2</sub>Cl<sub>2</sub>. The combined organic fractions were finally concentrated affording pure  $29$  (0.080 g,  $87\%$ ). The spectral data for this product were identical with that described below.

# Preparation of ketoester 29 from 26

The mixed anhydride derivative 27 was prepared first by the addition of a soln of methane sulfonylchloride (0.387 g, 3.39 mmol) in THF (1 ml) to a soln of 26 (0.551 g,<br>3.15 mmol) and Et<sub>3</sub>N (0.516 g) in THF (20 ml) at  $-40^{\circ}$ (under N<sub>2</sub>). The mixture was stirred at  $-40^{\circ}$  for 45 min, then warmed slowly to  $0^\circ$ 

Secondly, the anion of MeOAc was prepared by the dropwise addition of MeOAc (0.75 ml,  $\sim$  3.20 mmol) over 2 min to a soln of LDA (diisopropylamine, 1.29 ml; n-BuLi, 1.23 M, 7.32 ml) at  $-78^{\circ}$ . The resultant mixture was stirred under  $N<sub>2</sub>$ , for 30 min. The mixed anhydride soln was then added (via transfer under pressure of  $N_2$  through a syringe needle equipped with a filter paper) to the anion soln. THF was added to the non-transferred residue, and this in turn was transferred under  $N_2$  pressure. The mixture was stirred over 10 min at  $-78^{\circ}$ , then allowed to warm to 0° over 50 min. after which time it was diluted with water and extracted with  $CH_2Cl_2$  (  $\times$  3). The combined organic fractions were washed with dil HCl aq, dried over sodium sulfate and concentrated  $(0.674 \text{ g})$ .

Trituration of the crude product mixture with EtOAc /hexane afforded pure 29 (0.497 g, 22%). m.p. 106–106.5°<br>(EtOAc); IR:  $v_{\text{max}}$ , 1660, 1715 cm <sup>1</sup>; UV (MeOH):  $\lambda_{\text{max}}$ , 236, 213 m. 312 nm. <sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$  3.70 (s, 3 H, OCH<sub>3</sub>), 3.90 (s, 2 H, CH<sub>2</sub>), 4.05 (s, 3 H, NCH<sub>3</sub>), 7.35 (s, 1 H, H-7), 7.70 (d, 1 H, H-12); <sup>13</sup>C NMR (CDCl<sub>3</sub>): δ 32.2, 46.8, 110.4, 112.8,

121.0, 123.2, 125.8, 126.5, 133.8, 140.6, 167.9, 185.2; MS  $m/e$  (rel. intensity): 231 (M<sup>+</sup>, 30%), 158 (100%); Exact mass  $m/e$  231.0894 (Calc for C<sub>13</sub>H<sub>13</sub>NO<sub>3</sub> m/e 231.0895).

Preparation of enamine 31a and b

The enolate anion of  $\beta$ -ketoester 29 was generated first by the addition of a soln of  $29$  (0.224 g, 0.97 mmol) in THF (1 ml) to a suspension of NaH (37 mg, hexane washed) in THF (5 ml). Anion formation was complete after stirring at room temp (under  $N_2$ ) for 30 min.

Secondly the silver complex of 30 was formed by the addition of a soln of  $AgBF_4$  (0.191 g, 1.0 mmol) in THF  $(2 ml)$  to a soln of 30  $(0.165 g, 1.21 mmol)$  also in THF (4 ml). The resultant mixture was stirred for 5 min (under  $N_2$ ) after which time the soln of the anion of 29 was added to it in one portion. After 30 min at room temp the reaction was stopped by the addition of aqueous ammonia and the mixture extracted with  $CH_2Cl_2$  (  $\times$  4). The combined organic layers were washed with dilute ammonia, and water, then filtered through a bed of celite, dried over Na<sub>2</sub>SO<sub>4</sub> and concentrated. Compound 31,  $a \sim 6$ : 4 mixture of isomers, was obtained in  $91\%$  yield (0.298 g). IR:  $v_{\text{max}}$  1715, 1650 cm<sup>-1</sup>; UV (EtOH):  $\lambda_{\text{max}}$  310 nm; <sup>1</sup>H NMR (CDCl<sub>3</sub>)<br>(major isomer):  $\delta$  1.45 (s, 3 H, CH<sub>3</sub>), 2.55 (s, 3 H, N<sub>6</sub>CH<sub>3</sub>),<br>3.15 (m, 1 H, H-15), 3.68 (s, 3 H, OCH<sub>3</sub>), 4.07 (s, 3 H, NaCH<sub>3</sub>), 4.43 (d, J = 4 Hz, 1 H, H-14), 5.60 (s, 1 H, H-5); (minor isomer):  $\delta$  1.58 (s, 3 H, CH<sub>3</sub>), 2.53 (s, 3 H, N<sub>b</sub>CH<sub>3</sub>), 3.67 (s, 3 H, OCH<sub>3</sub>), 4.09 (s, 3 H, N<sub>a</sub>CH<sub>3</sub>), 4.37 (d, J = 4 Hz, 1 H, H-14), 5.70 (s, 1 H, H-5); MS m/e (rel. intensity): 340  $(M^{\text{-}'}$ , 30%), 231 (20%), 158 (40%), 110 (100%); Exact mass m/e 340.1773 (Calc for  $C_{20}H_{24}N_2O_3$ , m/e 340.1786).

#### Preparation of aminonitriles 32a and b

An aqueous soln of NaCN  $(0.40 g \text{ in } 20 \text{ ml } H_20)$  was added to a soln of crude product 31 (0.298 mg,  $\sim 0.87$  mmol) in CH<sub>2</sub>Cl<sub>2</sub> (20 ml). The aqueous phase was adjusted to pH 4.0 by the addition of solid citric acid and the two phase system was stirred vigourously for 45 min. The aqueous phase was then basified with  $Na<sub>2</sub>CO<sub>3</sub>$  soln and separated from the CH<sub>2</sub>Cl<sub>2</sub> layer. After several extractions with further quantities of  $CH<sub>2</sub>Cl<sub>2</sub>$  the combined organic fractions were washed with water, dried over Na<sub>2</sub>SO<sub>4</sub>, and concentrated  $(0.303 g, 94\%)$ .

Pure 32a was obtained by crystallization of the product mixture (EtOAc) and by chromatography of the mother liquors (SiO<sub>2</sub>: CH<sub>2</sub>Cl<sub>2</sub>/MeOH  $2\frac{9}{6}$ ). Attempts to isolate the minor isomer 32b led to its obtention mixed with 32a (isomer ratio;  $32a/32b \sim 4:1$ ). m. p. 161-162° (EtOAc): IR:  $v_{max}$  1715, 1650 cm<sup>-1</sup>; UV (MeOH):  $\lambda_{max}$  240, 315 nm:<br><sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$  1.20 (d, J = 7 Hz, 3 H, CH<sub>3</sub>), 2.37 (tt, overlapped,  $J = 12$  Hz, 1 H, H-15), 2.40 (s, 3 H, N<sub>b</sub>CH<sub>3</sub>), 3.73 (s, 3 H, OCH<sub>3</sub>), 3.77 (d, J = 4 Hz, 1 H, H-5), 4.10 (s, 3 H, N<sub>a</sub>CH<sub>3</sub>), 4.47 (d, J = 4 Hz, 1 H, H-14), 7.32 (s, 1 H, H-7), 7.67 (d, 1 H, H-12); <sup>13</sup>C NMR (CDCl<sub>3</sub>): δ 16.6, 27.8, 32.2, 36.7, 38.3, 43.7, 52.2, 55.9, 62.4, 110.5, 111.5, 114.8, 121.0, 123.2, 125.7, 126.5, 134.0, 140.5, 169.1, 189.1; MS<br>
m/e (rel. intensity): 367 (M<sup>+</sup>, 5%), 340 (10%), 232 (40%),<br>
200 (40%), 151, 148 (35%), 110 (100%); Exact mass m/e 367.1902 (Calc for  $C_{21}H_{25}N_3O_3$  m/e 367.1895).

### Preparation of 34 by cyclization of enamine 31

A mixture of crude product 31 (0.404 g,  $\sim$  1.18 mmol), and TsOH (0.5 g) was refluxed in toluene (using a Dean-Stark apparatus) for 22 hr under an atmosphere of  $N_2$ . The mixture was then cooled, basified with NH<sub>4</sub>OH aq, and extracted with CH<sub>2</sub>Cl<sub>2</sub> ( $\times$  3).

The combined organic fractions were washed with water, dried over  $Na<sub>2</sub>SO<sub>4</sub>$ , and concentrated to dryness (0.296 g).

Pure 34 (0.240 g,  $64\%$ ) was obtained by trituration of the crude product with MeOH and by chromatography of the mother liquors (Silica, CH<sub>2</sub>Cl<sub>2</sub>/MeOH 5%). m.p. 166° (MeOH); IR: v<sub>max</sub> 1660, 1720 cm<sup>-1</sup>; UV (EtOH):  $\lambda_{\text{max}}$  240, 315 nm; <sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$  1.49 (d, J = 7 Hz, 3 H, CH<sub>3</sub>), 2.00 (s, 3 H, N<sub>b</sub>CH<sub>3</sub>), 3.63 (s, 3 H, OCH<sub>3</sub>), 3.72 (d, J = 4 Hz, 1 H, H-14), 3.94 (s, 3 H, N<sub>a</sub>CH<sub>3</sub>), 4.26 (s, 1 H, H-5), 7.38 (s,

1 H, H-7), 7.70 (d, 1 H, H-12). <sup>13</sup>C NMR (CDCl<sub>3</sub>):  $\delta$  21.0, 25.7, 31.7, 34.3, 36.5, 44.1, 45.7, 52.4, 58.5, 65.7, 110.3, 116.8. 120.2, 121.9, 125.3, 127.2, 135.8, 138.6, 170.5, 194.0; MS m/e (rel. intensity): 340 (M<sup>+</sup>, 100), 309 (10), 297 (10), 283<br>(25), 281 (15), 270 (15), 255 (50), 251 (30), 242 (30); Exact mass  $m/e$  340.1792 (Calc for  $C_{20}H_{24}N_2O_3$  340.1786); Found: C, 70.36%; H, 7.12%; N, 8.37%; Calc: C, 70.56%; H, 7.10%; N, 8.22%

#### Preparation of 34 by cyclization of 32

The mixture of  $32a$ , b  $(0.030g, 0.82mmol)$  and TsOH (50 mg) was refluxed in toluene (using a Dean-Stark apparatus) for 22 hr under an atmosphere of  $N_2$ . The mixture was then cooled, basified with NH<sub>4</sub>OH aq. and extracted with  $CH<sub>2</sub>Cl<sub>2</sub>$  (  $\times$  3). The combined organic fractions were washed with water, dried over Na<sub>2</sub>SO<sub>4</sub> and concentrated to dryness  $(0.032 \text{ g})$ . The desired product 34  $(0.024 \text{ g}, 74\%)$  was obtained pure after separation from polar impurities by thick layer chromatography on silica gel  $(CH,Cl,/MeOH 5\%)$ . The spectral data for this product were identical with that described above.

#### Preparation of 25

Compound  $34$  (0.710 g, 2.08 mmol) was dissolved in a mixture of AcOH  $(10 \text{ ml})$ , H<sub>2</sub>O  $(10 \text{ ml})$ , conc. H<sub>2</sub>SO<sub>4</sub>  $(1 \text{ ml})$ and treated at reflux for 5 hr. The mixture was then cooled, basified with NH<sub>4</sub>OH aq, and extracted with  $CH_2Cl_2$  ( $\times$  3). The combined organic fractions were washed with water, dried over Na<sub>2</sub>SO<sub>4</sub> and concentrated to dryness. Near colourless crystals of the desired product 25 were obtained (0.563 g, 95%). m.p. 179–180° (MeOH); IR:  $v_{\text{max}}$  1630 cm<sup>-1</sup>; UV (EtOH):  $\lambda_{\text{max}}$  236, 318 nm; <sup>1</sup>H NMR (240 MHz, CDCl<sub>3</sub>)  $\delta$  1.55 (d, J = 7 Hz, 3 H, CH<sub>3</sub>), 2.00 (s, 3 H, N<sub>b</sub>CH<sub>3</sub>), 2.95, 3.15 (dd,  $J_{AB} = 12$  Hz,  $J_{AX} = 4$  Hz, 2 H, H 14), 3.95 (s, 3 H, N<sub>a</sub>CH<sub>1</sub>), 4.35 (s, 1 H, H-5); <sup>13</sup>C NMR (CDCl<sub>3</sub>)  $\delta$  21.0, 26.1, 32.0, 34.4, 37.6, 44.2, 45.9, 53.6, 58.9, 110.2, 117.5, 120.1, 121.1, 125.2, 127.4, 135.2, 138.2, 198.3; MS  $m/e$  (rel. intensity) 282 (M<sup>+</sup>, 100) 254, 251 (10), 239 (10), 225 (25), 210 (20), 199 (100), 184 (45); Exact mass m/e 282.1773 (Calc for  $C_{18}H_{22}N_2O$ : 282.1732); Found: C, 76.56%; H, 7.89%, N, 9.92%; Calc: C, 76.56%; H, 7.85%; N, 9.92%.

#### Preparation of N-methyl-2, 4-dicyano-3-ethylpiperidines 45a and **b**

N-oxide 41<sup>2</sup> (6.18 g, 43.8 mmol) was dissolved in THF  $(100 \text{ ml})$  and reacted at  $0^{\circ}$  under argon with trifluoroacetic anhydride (9.2 ml, 1.5 equiv) (added via syringe over a 5 min period). After stirring for 1 hr at  $0^{\circ}$  the THF and residual anhydride were removed under high vacuum. The mixture containing intermediate 42 was then diluted with benzene (50 ml), and reacted (with cooling) with diethylaluminium cyanide  $(1.8 \text{ M})$  in toluene, 24.3 ml, 43.8 mmol). The resultant mixture was stirred at room temp for 2 hr, then poured into a two phase medium  $(CH_2Cl_2-H_2O$  containing KCN, buffered to pH 4.0). After stirring vigourously for 30 min the two phases were separated, and the aqueous phase was washed with  $CH_2Cl_2$ <sup>{</sup> (  $\times$  3). The combined organic fractions were then washed with  $H_2O$ , dried over  $Na<sub>2</sub>SO<sub>4</sub>$ , and concentrated to give a red coloured oil. The crude product mixture was separated by column chromatography on alumina  $(200 g)$   $(CH_2Cl_2$ -hexane, 1:1). Compound 45, a colourless oil was obtained as a 4:1 mixture of isomers  $(2.85 \text{ g}, 37.0\%)$ . The major isomer 45a crystallized from ether-hexane mixtures as colourless cubes; m.p. 58–59°. IR:  $v_{\text{max}}$ , 2210 cm<sup>-1</sup>; <sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$  1.37<br>(t, J = 7.5 Hz, 3 H, CH<sub>3</sub>), 1.81 (m, 1 H, CH<sub>2</sub>), 2.18 (qd partly overlapped, J = 12, 4 Hz, 1 H, H-5 ax), 2.25 (m, 2 H, H-3, CH<sub>2</sub>), 2.35(dq, J = 12, 3 Hz, 1 H-5 eq), 2.61 (td partly overlapped, J = 12, 3 Hz, 1 H, H-6 ax), 2.66 (s, 3 H, NCH<sub>3</sub>), 2.69 (td overlapped,  $J = 12$ , 4 Hz, 1 H, H-4 ax), 3.00 (dm,  $J = 12.5$  Hz, 1 H, H-6 eq), 4.06 (d,  $J = 4$  Hz, 1 H, H-2);<br><sup>13</sup>C NMR (CDCl<sub>3</sub>):  $\delta$  10.7, 23.8, 28.8, 30.4, 42.2, 44.0, 48.9, 57.6, 113.4, 120.3; MS m/e (rel. intensity): 177 (M<sup>+</sup>, 35%), 176 (30%), 162 (27%), 150-148 (25%), 137 (30%), 135

 $(100\%)$ , 123 (40%), 121 (20%), 109 (15%); Found; C, 67.76%; H, 8.53%; N, 23.70%; Calc for C<sub>10</sub>H<sub>15</sub>N<sub>3</sub>: C, 67.87%; H, 8.59%; N, 23.69%. In the <sup>1</sup>H NMR spectrum of the minor isomer 45b, peaks observed at :  $2.00$  (m),  $2.64$  (s, NCH<sub>3</sub>), 2.77 (m), 3.28 (dt, J = 12.5 Hz), 3.73 (br.s, H-2); <sup>13</sup>C NMR:  $\delta$  11.6, 20.0, 25.0, 28.3, 41.0, 44.2, 49.7, 56.2.

### Condensation of 45 with indole

Preparation of products 46a, b and 47. Silver nitrate  $(1.40 g, 7.91 mmol)$  was added in one portion to a soln of 45 (1.40 g, 7.91 mmol) and indole (2.77 g, 23.7 mmol) in  $50\%$ aqueous AcOH (10 ml) and the resultant heterogeneous mixture was heated for 24 hr at 60° (argon atmosphere). After this period the mixture was cooled, diluted with 6N HCl (4 ml), stirred for an additional 10 min and finally poured into a separatory funnel containing  $10\%$  aq HCl. The aqueous mixture was extracted with ether  $(x, 3)$ , then neutralized with  $Na_2CO_3$  and reextracted with  $CH_2Cl_2$  $(x 5)$ . The combined CH<sub>2</sub>Cl<sub>2</sub> extracts were washed with water, dried over Na<sub>2</sub>SO<sub>4</sub> and concentrated to give a tan foam (1.72 g). Two minor components were isolated after column chromatography of the crude product mixture on silica gel (75 g) eluting with EtOAc/MeOH/Et<sub>3</sub>N (93:3:2).

The least polar compound 47 was isolated in only trace amounts. IR:  $v_{\text{max}}$  3490 cm<sup>-1</sup> NH, 2220 cm<sup>-1</sup> (S) CN; <sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$  0.98 (t, J = 7.5 Hz, 3 H, H-18), 1.93 (m, 1 H, H-19), 2.72 (apparent s, 3 H), 2.40, 2.53 (2m,  $\sim$  5 H), 2.88 (m, 1H), 4.37 (s, 1H, H-21), 7.03 (d, 1H, H-2), 8.30 (br.s, 1 H, NH); <sup>13</sup>C NMR (CDCl<sub>3</sub>): δ 13.3, 27.47, 27.55, 43.4, 47.8, 60.4, 105.11, 111.4, 112.4, 118.6, 119.6, 120.2, 122.5, 124.4, 127.4, 136.5, 137.2; MS m/e (rel. intensity): 265  $(M^+$ , 60%), 250 (20%), 236 (100%), 221 (10%), 192 (20%); Exact mass  $m/e$  265.1544 (Calc for  $C_{17}H_{19}N_3$ ,  $m/e$  265.1578).<br>Intermediate column fractions (0.953 g) contained prod-

uct 46a contaminated with 46b and trace amounts of an unidentified component. Epimer 46a was obtained pure  $(0.450 g, 22%)$  after a second chromatography on silica (EtOAc/MeOH/Et<sub>3</sub>N 98:1:1); IR:  $v_{\text{max}}$  3450, 2210 cm<sup>-1</sup>;<br>UV (MeOH):  $\lambda_{\text{max}}$  278, 283, 292 nm; <sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$  $0.77$  (t, J = 7.5 Hz, 3 H, H-18), 1.30 (m, 1 H, H-19), 1.58 (m, 1 H, H-19), 2.02 (s, 3 H, NCH<sub>3</sub>), 2.16–2.25 (m,  $\sim$  4 H), 2.60  $(m, 1 H)$ , 3.00 (br. d, J = 12 Hz, 1 H), 3.12 (m, 1 H), 7.80 (br.s, 1 H, H-9), 8.25 (br.s, 1 H, NH); <sup>13</sup>C NMR (CDCl<sub>1</sub>):  $\delta$ 8.9, 23.4, 29.8, 31.8, 44.5, 56.8, 111.3, 119.5, 721.7, 122.3, 122.7; MS  $m/e$  (rel. intensity); 267 (M<sup>+</sup>, 100%), 252 (20%, 238  $(10\%)$ , 188  $(50\%)$ , 187  $(35\%)$ , 174  $(45\%)$ , 173  $(30\%)$ , 159  $(70\%)$ , 158  $(75\%)$ ; Exact mass m/e 267.1724 (Calc for  $c_{17}H_{21}N_3$  m/e 267.1735). The more polar epimer 46b was obtained as a colourless foam (0.881 g, 42%). IR:  $v_{\text{max}}$  3450, 2210 cm<sup>-1</sup>; UV (MeOH):  $\lambda_{\text{max}}$  278, 285, 292 nm. <sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$  0.75 (t, J = 7.5 Hz, 3 H, H-18), 1.25 (m, 2 H, H-19), 2.07 (s, 3 H, NCH<sub>3</sub>), H-14 eq. H-20, H-21), 2.61 (m, 1 H, H-3 ax), 3.07 (dt, J = 12,  $\simeq$  4 Hz, H-3 eq), 3.22 (q, J  $\simeq$  4 Hz, 1 H, H-15), 7.80 (br.s, 1 H, H-9), 8.14 (br.s, 1 H, NH); <sup>13</sup>C NMR (CDCl<sub>3</sub>)  $\delta$  11.1, 23.6, 28.3, 30.0, 43.9, 44.4, 52.9, 64.7, 111.4, 115.7, 119.6, 120.2, 122.3, 123.2, 136.8; MS m/e (rel. intensity); 267 (M<sup>+</sup>  $100\%, 252$  (20%), 238 (15%), 186 (95%), 185 (75%), 172 (90%), 157-158 (95%), 143 (50%), 130 (50%); Exact mass m/e 267.1729 (Calc for  $C_1,H_{21}N_3$ , m/e 267.1735).

#### Preparation of ketone 49 from nitrile isomer 46b

A soln of  $46b$  (0.300 g, 1.16 mmol) in ether (1 ml) was added dropwise over 5 min to a soln of MeLi (2.40 mmol) in ether (20 ml). The resultant heterogeneous mixture was stirred under argon at room temp for  $2$  hr. An aqueous  $10\%$ soln of HCl  $(3.5 \text{ ml})$  was then added and vigorous stirring continued for an additional 5 hr. The mixture was then diluted with water, neutralized by the addition of solid  $K_2CO_3$  and extracted with CH<sub>2</sub>Cl<sub>2</sub> ( $\times$  3). The combined CH<sub>2</sub>Cl<sub>2</sub> extracts were subsequently washed with water, dried over Na<sub>2</sub>SO<sub>4</sub> and concentrated to give a tan coloured foam. The crude product mixture was separated by column chromatography on alumina (18 g) eluting with  $CH<sub>2</sub>Cl<sub>2</sub>$ -MeOH

 $1\%$ . Pure 49 was obtained as a colourless foam (0.300 g, 91%). IR:  $v_{max}$  3480, 1700 cm<sup>-1</sup>; UV (MeOH):  $\lambda_{max}$  278, 283, 288 nm; <sup>1</sup>H NMR (CDCl<sub>3</sub>): 0.68 (t, J = 7.5 Hz, 3 H, H-18), 1.16 (m, 2 H, H-19), 1.96 (dq, m, overlapped,  $J = 12$ , 4 Hz, 1 H each, H-14 eq, 20), 2.05 (s, 3 H, COCH<sub>3</sub>), 2.10 (m, overlapped, 1 H, H-14 ax), 2.15 (s, 3 H, NCH<sub>3</sub>), 2.36 (td,  $J = 12$ , 4 Hz, 1 H, H-3 eq), 3.10 (q,  $J = 4$  Hz, 1 H, H-15),<br>3.86 (d,  $J = 10$  Hz, 1 H, H-21), 8.14 (br.s. 1 H, NH); <sup>13</sup>C NMR (CDCl<sub>3</sub>):  $\delta$  12.4, 22.4, 27.0, 30.2, 44.4, 45.6, 46.7, 51.5, 62.1, 111.2, 117.6, 119.3, 120.3, 122.0, 122.5, 127.7, 136.5, 204.5; MS  $m/e$  (rel. intensity): 284 (M<sup>+</sup>, 25%), 242  $(100\%)$ , 198  $(60\%)$ , 172  $(30\%)$ ; Exact mass  $m/e$  284.1886 (Calc for  $C_{18}H_{24}N_2O$  284.1888).

#### Preparation of ketone 51 from nitrile 46a

MeLi  $(5\%$  in ether, 2.0 ml) was added dropwise over a 5 min period to a stirred suspension of 46a (0.200 g,  $0.75$  mmol) in ether (25 ml) and the resultant mixture was stirred for 3 hr at room temp (argon atmosphere). The reaction was then stopped by the addition of a sat NH<sub>4</sub>Cl aq, and the aqueous phase extracted with  $CH_2Cl_2$  (  $\times$  3). The combined CH<sub>2</sub>Cl<sub>2</sub> layers were washed with water, dried over Na<sub>2</sub>SO<sub>4</sub> and concentrated giving a tan coloured foam (0.220 g) containing imine 50. IR:  $v_{\text{max}}$  1630 cm<sup>-1</sup>; <sup>1</sup>H NMR (CDCl<sub>3</sub>, 80 MHz);  $\delta$  2.00 (s, CH<sub>3</sub>C = NH), 2.04 (s, NCH<sub>3</sub>).

The crude product mixture containing imine 50 was applied to an alumina column (20 g) and the column was eluted with  $CH_2Cl_2$ -MeOH 1%. Hydrolysis of 50 to the desired ketone 51 occurred during the chromatography. The kctone 51 (0.194 g,  $90\%$ ) was obtained as a colourless foam. IR:  $v_{\text{max}}$ , 3490, 1700 cm<sup>-1</sup>; UV (MeOH):  $\lambda_{\text{max}}$ , 278, 283, 288 nm; <sup>1</sup>H NMR (CDCl<sub>3</sub>): 0.68 (t, J = 7.5 Hz, 3 H, H-18), 1.13 (m, 2 H, H-19), 1.91 (m overlapped, 1 H, H-14 eq), 1.96 (qd overlapped,  $J = 12, 4 Hz, 1 H, H-14 ax$ ), 2.03 (s, 3 H, CH<sub>3</sub>CO), 2.20 (m, overlapped, 1 H, H-3 ax), 2.22 (s, 3 H, NCH<sub>3</sub>), 2.35 (m, 1H, H-20), 2.61 (dt, J = 12, 4 Hz, 1H, H-15 ax), 3.04 (br.d,  $J = 10$  Hz, 1 H, H-21), 3.12 (dt,  $J = 12$ ,  $\sim$  4 Hz, 1 H, H-3 eq), 7.82 (br.s, 1 H, H-9), 8.14 (br.s, 1 H, NH); <sup>13</sup>C NMR (CDCl<sub>3</sub>):  $\delta$  9.3, 23.2, 28.4, 29.3, 42.8, 44.7, 54.3, 56.5, 64.8, 111.3, 116.5, 119.5, 120.1, 122.1, 122.7, 127.8, 136.5, 204.0; MS  $m/e$  (rel. intensity): 284 (M<sup>+</sup>, 40%), 242 (25%), 241 (100%), 198 (40%), 185 (10%), 172 (20%), 157 (15%), 130 (20%); Exact mass m/e 284.1900 (Calc for  $C_{18}H_{24}N_2O$  m/e 284.1888).

# Preparation of 20-Epiuleine 36

(i) A mixture of 51 (0.025 g, 0.088 mmol) and  $p$ toluenesulfonic acid  $(0.070 g)$  was refluxed in CHCl<sub>3</sub>  $(20 ml)$ for 15 hr after which time the mixture was cooled, basified with NH<sub>4</sub>OH, and extracted with  $CH_2Cl_2$  ( $\times$  3). The combined organic fractions were wahed with water, dried over  $Na<sub>2</sub>SO<sub>4</sub>$  and concentrated (0.020 g). 20-epiuleine 36 (0.013 g, 56%) was obtained pure after preparative layer chromatography of the crude reaction mixture on alumina  $(EtOAc-CH<sub>2</sub>Cl<sub>2</sub> (6:2)).$  The 400 MHz <sup>1</sup>H NMR spectrum and the TLC Rf values for 36 were identical with an authentic sample of 20-epiuleine.

(ii) A mixture of  $49$  (0.19 g, 0.67 mmol) and camphorsulfonic acid (0.600 g) was refluxed in CHCl<sub>3</sub> (20 ml) for 24 hr 20-epiuleine 36  $(0.062 \text{ g}, 35\%)$  was obtained after extractive work-up and purification by preparative layer chromatography.

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